

```
*****
26903 Fri Apr 11 14:22:19 2014
new/usr/src/common/avl/avl.c
4745 fix AVL code misspellings
*****
```

```

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25 /*
26 * AVL - generic AVL tree implementation for kernel use
27 *
28 * A complete description of AVL trees can be found in many CS textbooks.
29 *
30 * Here is a very brief overview. An AVL tree is a binary search tree that is
31 * almost perfectly balanced. By "almost" perfectly balanced, we mean that at
32 * any given node, the left and right subtrees are allowed to differ in height
33 * by at most 1 level.
34 *
35 * This relaxation from a perfectly balanced binary tree allows doing
36 * insertion and deletion relatively efficiently. Searching the tree is
37 * still a fast operation, roughly O(log(N)).
38 *
39 * The key to insertion and deletion is a set of tree manipulations called
40 * The key to insertion and deletion is a set of tree maniuplations called
41 * rotations, which bring unbalanced subtrees back into the semi-balanced state.
42 *
43 * This implementation of AVL trees has the following peculiarities:
44 *
45 * - The AVL specific data structures are physically embedded as fields
46 * in the "using" data structures. To maintain generality the code
47 * must constantly translate between "avl_node_t *" and containing
48 * data structure "void **"s by adding/subtracting the avl_offset.
49 * data structure "void **"s by adding/subtracting the avl_offset.
50 *
51 * - Since the AVL data is always embedded in other structures, there is
52 * no locking or memory allocation in the AVL routines. This must be
53 * provided for by the enclosing data structure's semantics. Typically,
54 * avl_insert()/_add()/_remove()/avl_insert_here() require some kind of
55 * exclusive write lock. Other operations require a read lock.
56 *
57 * - The implementation uses iteration instead of explicit recursion,
58 * since it is intended to run on limited size kernel stacks. Since
59 * there is no recursion stack present to move "up" in the tree,
* there is an explicit "parent" link in the avl_node_t.
```

```

60 /*
61 * - The left/right children pointers of a node are in an array.
62 * In the code, variables (instead of constants) are used to represent
63 * left and right indices. The implementation is written as if it only
64 * dealt with left handed manipulations. By changing the value assigned
65 * to "left", the code also works for right handed trees. The
66 * following variables/terms are frequently used:
67 *
68 * int left; // 0 when dealing with left children,
69 * // 1 for dealing with right children
70 *
71 * int left_heavy; // -1 when left subtree is taller at some node,
72 * // +1 when right subtree is taller
73 *
74 * int right; // will be the opposite of left (0 or 1)
75 * int right_heavy; // will be the opposite of left_heavy (-1 or 1)
76 *
77 * int direction; // 0 for "<" (ie. left child); 1 for ">" (right)
78 *
79 * Though it is a little more confusing to read the code, the approach
80 * allows using half as much code (and hence cache footprint) for tree
81 * manipulations and eliminates many conditional branches.
82 *
83 * - The avl_index_t is an opaque "cookie" used to find nodes at or
84 * adjacent to where a new value would be inserted in the tree. The value
85 * is a modified "avl_node_t **". The bottom bit (normally 0 for a
86 * pointer) is set to indicate if that the new node has a value greater
87 * than the value of the indicated "avl_node_t **".
88 */

89 #include <sys/types.h>
90 #include <sys/param.h>
91 #include <sys/debug.h>
92 #include <sys/avl.h>
93 #include <sys/cmn_err.h>

94 /*
95 * Small arrays to translate between balance (or diff) values and child indices.
96 * Small arrays to translate between balance (or diff) values and child indeces.
97 *
98 * Code that deals with binary tree data structures will randomly use
99 * left and right children when examining a tree. C "if()" statements
100 * which evaluate randomly suffer from very poor hardware branch prediction.
101 * In this code we avoid some of the branch mispredictions by using the
102 * following translation arrays. They replace random branches with an
103 * additional memory reference. Since the translation arrays are both very
104 * small the data should remain efficiently in cache.
105 *
106 */
107 static const int avl_child2balance[2] = {-1, 1};
108 static const int avl_balance2child[] = {0, 0, 1};

109 /*
110 * Walk from one node to the previous valued node (ie. an infix walk
111 * towards the left). At any given node we do one of 2 things:
112 *
113 * - If there is a left child, go to it, then to its rightmost descendant.
114 *
115 * - otherwise we return through parent nodes until we've come from a right
116 * child.
117 * - otherwise we return thru parent nodes until we've come from a right child.
118 *
119 *
120 * Return Value:
121 * NULL - if at the end of the nodes
122 * otherwise next node
123 */

```

```

124 void *
125 avl_walk(avl_tree_t *tree, void *oldnode, int left)
126 {
127     size_t off = tree->avl_offset;
128     avl_node_t *node = AVL_DATA2NODE(oldnode, off);
129     int right = 1 - left;
130     int was_child;

133     /*
134      * nowhere to walk to if tree is empty
135      */
136     if (node == NULL)
137         return (NULL);

138     /*
139      * Visit the previous valued node. There are two possibilities:
140      *
141      * If this node has a left child, go down one left, then all
142      * the way right.
143      */
144     if (node->avl_child[left] != NULL) {
145         for (node = node->avl_child[left];
146              node->avl_child[right] != NULL;
147              node = node->avl_child[right])
148             ;
149
150     /*
151      * Otherwise, return thru left children as far as we can.
152      */
153     } else {
154         for (;;) {
155             was_child = AVL_XCHILD(node);
156             node = AVL_XPARENT(node);
157             if (node == NULL)
158                 return (NULL);
159             if (was_child == right)
160                 break;
161         }
162     }

163     return (AVL_NODE2DATA(node, off));
164 }


---


165 unchanged_portion_omitted_

919 #define CHILDBIT (1L)

921 /*
922  * Post-order tree walk used to visit all tree nodes and destroy the tree
923  * in post order. This is used for destroying a tree without paying any cost
924  * in post order. This is used for destroying a tree w/o paying any cost
925  * for rebalancing it.
926  * example:
927  *
928  *     void *cookie = NULL;
929  *     my_data_t *node;
930  *
931  *     while ((node = avl_destroy_nodes(tree, &cookie)) != NULL)
932  *         free(node);
933  *     avl_destroy(tree);
934  *
935  * The cookie is really an avl_node_t to the current node's parent and
936  * an indication of which child you looked at last.
937  *
938  * On input, a cookie value of CHILDBIT indicates the tree is done.
939 */

```

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940 void *
941 avl_destroy_nodes(avl_tree_t *tree, void **cookie)
942 {
943     avl_node_t      *node;
944     avl_node_t      *parent;
945     int            child;
946     void          *first;
947     size_t         off = tree->avl_offset;

948     /*
949      * Initial calls go to the first node or it's right descendant.
950      */
951     if (*cookie == NULL) {
952         first = avl_first(tree);

953         /*
954          * deal with an empty tree
955          */
956         if (first == NULL) {
957             *cookie = (void *)CHILDBIT;
958             return (NULL);
959         }

960         node = AVL_DATA2NODE(first, off);
961         parent = AVL_XPARENT(node);
962         goto check_right_side;
963     }

964     /*
965      * If there is no parent to return to we are done.
966      */
967     parent = (avl_node_t *)((uintptr_t)(*cookie) & ~CHILDBIT);
968     if (parent == NULL) {
969         if (tree->avl_root != NULL) {
970             ASSERT(tree->avl_numnodes == 1);
971             tree->avl_root = NULL;
972             tree->avl_numnodes = 0;
973         }
974         return (NULL);
975     }

976     /*
977      * Remove the child pointer we just visited from the parent and tree.
978      */
979     child = (uintptr_t)(*cookie) & CHILDBIT;
980     parent->avl_child[child] = NULL;
981     ASSERT(tree->avl_numnodes > 1);
982     --tree->avl_numnodes;

983     /*
984      * If we just did a right child or there isn't one, go up to parent.
985      */
986     if (child == 1 || parent->avl_child[1] == NULL) {
987         node = parent;
988         parent = AVL_XPARENT(parent);
989         goto done;
990     }

991     /*
992      * Do parent's right child, then leftmost descendent.
993      */
994     node = parent->avl_child[1];
995     while (node->avl_child[0] != NULL) {
996         parent = node;
997         node = node->avl_child[0];
998     }
999 
```

```
1007     /*
1008      * If here, we moved to a left child. It may have one
1009      * child on the right (when balance == +1).
1010      */
1011 check_right_side:
1012     if (node->avl_child[1] != NULL) {
1013         ASSERT(AVL_XBALANCE(node) == 1);
1014         parent = node;
1015         node = node->avl_child[1];
1016         ASSERT(node->avl_child[0] == NULL &&
1017                node->avl_child[1] == NULL);
1018     } else {
1019         ASSERT(AVL_XBALANCE(node) <= 0);
1020     }
1022 done:
1023     if (parent == NULL) {
1024         *cookie = (void *)CHILDBIT;
1025         ASSERT(node == tree->avl_root);
1026     } else {
1027         *cookie = (void *)((uintptr_t)parent | AVL_XCHILD(node));
1028     }
1030     return (AVL_NODE2DATA(node, off));
1031 }
```

unchanged portion omitted

```
*****
8980 Fri Apr 11 14:22:19 2014
new/usr/src/uts/common/sys/avl.h
4745 fix AVL code misspellings
*****
```

```

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17 * information: Portions Copyright [yyyy] [name of copyright owner]
18 *
19 * CDDL HEADER END
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21 */
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23 * Use is subject to license terms.
24 */

26 #ifndef _AVL_H
27 #define _AVL_H

29 /*
30 * This is a private header file. Applications should not directly include
31 * this file.
32 */

34 #ifdef __cplusplus
35 extern "C" {
36 #endif

38 #include <sys/types.h>
39 #include <sys/avl_impl.h>

41 /*
42 * This is a generic implementation of AVL trees for use in the Solaris kernel.
43 * This is a generic implementation of AVL trees for use in the Solaris kernel.
44 * The interfaces provide an efficient way of implementing an ordered set of
45 * data structures.
46 *
47 * AVL trees provide an alternative to using an ordered linked list. Using AVL
48 * trees will usually be faster, however they requires more storage. An ordered
49 * linked list in general requires 2 pointers in each data structure. The
50 * AVL tree implementation uses 3 pointers. The following chart gives the
51 * approximate performance of operations with the different approaches:
52 *
53 * Operation Link List AVL tree
54 * ----- -----
55 * lookup O(n) O(log(n))
56 *
57 * insert 1 node constant constant
58 *
59 * delete 1 node constant between constant and O(log(n))
60 * delete all nodes O(n) O(n)

```

```

61 /*
62 * visit the next
63 * or prev node constant between constant and O(log(n))
64 *
65 *
66 * The data structure nodes are anchored at an "avl_tree_t" (the equivalent
67 * of a list header) and the individual nodes will have a field of
68 * type "avl_node_t" (corresponding to list pointers).
69 *
70 * The type "avl_index_t" is used to indicate a position in the list for
71 * certain calls.
72 *
73 * The usage scenario is generally:
74 *
75 * 1. Create the list/tree with: avl_create()
76 *
77 * followed by any mixture of:
78 *
79 * 2a. Insert nodes with: avl_add(), or avl_find() and avl_insert()
80 *
81 * 2b. Visited elements with:
82 *      avl_first() - returns the lowest valued node
83 *      avl_last() - returns the highest valued node
84 *      AVL_NEXT() - given a node go to next higher one
85 *      AVL_PREV() - given a node go to previous lower one
86 *
87 * 2c. Find the node with the closest value either less than or greater
88 *     than a given value with avl_nearest().
89 *
90 * 2d. Remove individual nodes from the list/tree with avl_remove().
91 *
92 * and finally when the list is being destroyed
93 *
94 * 3. Use avl_destroy_nodes() to quickly process/free up any remaining nodes.
95 * Note that once you use avl_destroy_nodes(), you can no longer
96 * use any routine except avl_destroy_nodes() and avl_destroy().
97 *
98 * 4. Use avl_destroy() to destroy the AVL tree itself.
99 *
100 * Any locking for multiple thread access is up to the user to provide, just
101 * as is needed for any linked list implementation.
102 */

105 /*
106 * Type used for the root of the AVL tree.
107 */
108 typedef struct avl_tree avl_tree_t;

110 /*
111 * The data nodes in the AVL tree must have a field of this type.
112 */
113 typedef struct avl_node avl_node_t;

115 /*
116 * An opaque type used to locate a position in the tree where a node
117 * would be inserted.
118 */
119 typedef uintptr_t avl_index_t;

122 /*
123 * Direction constants used for avl_nearest().
124 */
125 #define AVL_BEFORE (0)
126 #define AVL_AFTER (1)
```

```

129 /*
130  * Prototypes
131 *
132 * Where not otherwise mentioned, "void *" arguments are a pointer to the
133 * user data structure which must contain a field of type avl_node_t.
134 *
135 * Also assume the user data structures looks like:
136 * struct my_type {
137 *     ...
138 *     avl_node_t    my_link;
139 *     ...
140 * };
141 */
142
143 /*
144 * Initialize an AVL tree. Arguments are:
145 *
146 * tree - the tree to be initialized
147 * compar - function to compare two nodes, it must return exactly: -1, 0, or +1
148 *           -1 for <, 0 for ==, and +1 for >
149 * size - the value of sizeof(struct my_type)
150 * offset - the value of OFFSETOF(struct my_type, my_link)
151 */
152 extern void avl_create(avl_tree_t *tree,
153                      int (*compar) (const void *, const void *), size_t size, size_t offset);
154
155 /*
156 * Find a node with a matching value in the tree. Returns the matching node
157 * found. If not found, it returns NULL and then if "where" is not NULL it sets
158 * "where" for use with avl_insert() or avl_nearest().
159 */
160
161 * node - node that has the value being looked for
162 * where - position for use with avl_nearest() or avl_insert(), may be NULL
163 */
164 extern void *avl_find(avl_tree_t *tree, const void *node, avl_index_t *where);
165
166 /*
167 * Insert a node into the tree.
168 *
169 * node - the node to insert
170 * where - position as returned from avl_find()
171 */
172 extern void avl_insert(avl_tree_t *tree, void *node, avl_index_t where);
173
174 /*
175 * Insert "new_data" in "tree" in the given "direction" either after
176 * or before the data "here".
177 *
178 * This might be useful for avl clients caching recently accessed
179 * data to avoid doing avl_find() again for insertion.
180 *
181 * new_data - new data to insert
182 * here - existing node in "tree"
183 * direction - either AVL_AFTER or AVL_BEFORE the data "here".
184 */
185 extern void avl_insert_here(avl_tree_t *tree, void *new_data, void *here,
186                           int direction);
187
188 /*
189 * Return the first or last valued node in the tree. Will return NULL
190 * if the tree is empty.

```

```

192 *
193 */
194 extern void *avl_first(avl_tree_t *tree);
195 extern void *avl_last(avl_tree_t *tree);
196
197 /*
198 * Return the next or previous valued node in the tree.
199 * AVL_NEXT() will return NULL if at the last node.
200 * AVL_PREV() will return NULL if at the first node.
201 */
202
203 * node - the node from which the next or previous node is found
204 */
205 #define AVL_NEXT(tree, node)    avl_walk(tree, node, AVL_AFTER)
206 #define AVL_PREV(tree, node)    avl_walk(tree, node, AVL_BEFORE)
207
208 /*
209 * Find the node with the nearest value either greater or less than
210 * the value from a previous avl_find(). Returns the node or NULL if
211 * there isn't a matching one.
212 */
213
214 * where - position as returned from avl_find()
215 * direction - either AVL_BEFORE or AVL_AFTER
216 */
217
218 * EXAMPLE get the greatest node that is less than a given value:
219 */
220 * avl_tree_t *tree;
221 * struct my_data look_for_value = {....};
222 * struct my_data *node;
223 * struct my_data *less;
224 * avl_index_t where;
225 */
226 * node = avl_find(tree, &look_for_value, &where);
227 * if (node != NULL)
228 *     less = AVL_PREV(tree, node);
229 * else
230 *     less = avl_nearest(tree, where, AVL_BEFORE);
231
232 extern void *avl_nearest(avl_tree_t *tree, avl_index_t where, int direction);
233
234 /*
235 * Add a single node to the tree.
236 * The node must not be in the tree, and it must not
237 * compare equal to any other node already in the tree.
238 */
239 * node - the node to add
240 */
241 extern void avl_add(avl_tree_t *tree, void *node);
242
243 /*
244 * Remove a single node from the tree. The node must be in the tree.
245 */
246
247 * node - the node to remove
248 */
249 extern void avl_remove(avl_tree_t *tree, void *node);
250
251 /*
252 * Reinsert a node only if its order has changed relative to its nearest
253 * neighbors. To optimize performance avl_update_lt() checks only the previous
254 * node and avl_update_gt() checks only the next node. Use avl_update_lt() and
255 * avl_update_gt() only if you know the direction in which the order of the
256 * node may change.
257 */

```

```
258 extern boolean_t avl_update(avl_tree_t *, void *);
259 extern boolean_t avl_update_lt(avl_tree_t *, void *);
260 extern boolean_t avl_update_gt(avl_tree_t *, void *);

262 /*
263  * Return the number of nodes in the tree
264 */
265 extern ulong_t avl_numnodes(avl_tree_t *tree);

267 /*
268  * Return B_TRUE if there are zero nodes in the tree, B_FALSE otherwise.
269 */
270 extern boolean_t avl_is_empty(avl_tree_t *tree);

272 /*
273  * Used to destroy any remaining nodes in a tree. The cookie argument should
274  * be initialized to NULL before the first call. Returns a node that has been
275  * removed from the tree and may be free()'d. Returns NULL when the tree is
276  * empty.
277 *
278 * Once you call avl_destroy_nodes(), you can only continuing calling it and
279 * finally avl_destroy(). No other AVL routines will be valid.
280 *
281 * cookie - a "void *" used to save state between calls to avl_destroy_nodes()
282 *
283 * EXAMPLE:
284 *     avl_tree_t *tree;
285 *     struct my_data *node;
286 *     void *cookie;
287 *
288 *     cookie = NULL;
289 *     while ((node = avl_destroy_nodes(tree, &cookie)) != NULL)
290 *         free(node);
291 *     avl_destroy(tree);
292 */
293 extern void *avl_destroy_nodes(avl_tree_t *tree, void **cookie);

296 /*
297  * Final destroy of an AVL tree. Arguments are:
298 *
299  * tree    - the empty tree to destroy
300 */
301 extern void avl_destroy(avl_tree_t *tree);

305 #ifdef __cplusplus
306 }
```

unchanged_portion_omitted_